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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Complete if Known | | | |
| | | Application Number | 10/018127 | | |
| | | Filing Date | May 13, 2002 | | |
| | | First Named Inventor | Robert L. Clancy | | |
| | | Art Unit | 1641 | | |
| | | Examiner Name | Cook, Lisa V. | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | BSWV-P01-002 |

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| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|--|------------------|---|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | MM-DD-YYYY | | |
| LVC | AA | 5,556,759 | 09-17-1996 | Beach | |
| LVC | AB | 5,747,266 | 05-05-1998 | Beach | |

| FOREIGN PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | |
|---|-----------------------|--|-----------------|--------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | |
| LVC | AC | Baxendine, J.A. and Moore, I.E. Pulmonary Eosinophilia in Sudden Infant Death Syndrome. J. Pathol. 177, 415-421 (1995). | | |
| | AD | Brooks, J.G. Apparent Life-Threatening Events and Apnea of Infancy. Clinics in Perinatology 19(4), 809-838 (Dec. 1992). | | |
| | AE | Forsyth, K.D. et al. Lung immunoglobulins in the sudden infant death syndrome. BMJ 298, 23-26 (7 Jan. 1989). | | |
| | AF | Gleeson, M. et al. The Variability of Immunoglobulins and Albumin in Salivary Secretions of Children. Scand. J. Immunol. 33, 533-541 (1991). | | |
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| | AH | Shannon, D.C. Prospective Identification of the Risk of SIDS. Clinics in Perinatology 19(4), 861-869 (Dec. 1992). | | |
| | AI | Strober, W. and James, S.P. "The Mucosal Immune System" in Basic and Clinical Immunology, 7th ed. D.P. Sipes and A.I. Terr, eds. Appleton & Lange, Pub (1991), Chapter 15, pp. 175-186. | | |
| LVC | AJ | Stoltenberg, L. et al. Changes in the concentration and distribution of immunoglobulin-producing cells in SIDS palatine tonsils. Pediatr. Allergy Immunol. 6, 48-55 (1995). | | |
| Examiner Signature | Lisa V. Cook | | Date Considered | 8/5/05 |

LVCook
2/20/07



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| L/C | AK | Stoltenburg, L. et al. Sudden Infant Death Syndrome Victims Show Local Immunoglobulin M Response In Tracheal Wall and Immunoglobulin A Response In Duodenal Mucosa. Ped. Res. 31, 372-375 (1992). | |
| | AL | Thrane, P.S. et al. Increased immune response in upper respiratory and digestive tracts in SIDS. The Lancet 335, 229-230 (27 Jan. 1990). | |
| L/C | AM | Urquhart, G.E.D. et al. Sudden unexplained death in infancy and hyperimmunization. J. Clin. Path. 24, 736-739 (1971). | |

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